Fair Political Practices Commission

Filing Schedule for State Candidate Controlled Committees Assembly District 1 Special Primary and Special General Listed on the August 27, 2019 and November 5, 2019 Ballots

Deadline	Period	Form	Notes
Within 24 Hours Contribution Reports	6/19/19 – 8/27/19 (Special Primary) 8/7/19 – 11/5/19 (Special General)	497	 E-File only. No paper copy is required File if a contribution of \$1,000 or more in the aggregate is received from a single source. File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure listed on the August 27 and/or November 5 ballot, or made to a political party committee. The recipient of a non-monetary contribution must file a Form 497 within 48 hours from the time the contribution is received.
If combining first pre-election and semi-annual for Special Primary: Jul 18, 2019** 1st Pre-Election and Semi-Annual If filing separately: Jul 18, 2019 1st Pre-Election (Special Primary) Jul 31, 2019 Semi-Annual	*- 7/13/19 7/1/19 - 7/13/19 *- 6/30/19	<u>460</u> <u>460</u>	 All committees must file Form 460. The first pre-election and semi-annual statements <i>may</i> be combined. If combining the first pre-election and semi-annual statements, the period covered is the day after the closing date of the last statement filed through July 13, 2019. Filers using an electronic filing system will likely have to file two separate statements. If filing two separate statements, the period covered for the semi-annual statement is the day after the closing date of the last statement filed through June 30, 2019. The period covered for the first pre-election statement is July 1, 2019 through July 13, 2019.
Aug 15, 2019 2 nd Pre-Election (Special Primary)	7/14/19 – 8/10/19	460	 460: All committees must file Form 460. Paper copies must be filed by personal delivery or guaranteed overnight service only.
Sept 26, 2019 1st Pre-Election (Special General)	8/11/19 – 9/21/19	<u>460</u>	 Candidates who were unsuccessful in the August 27 election do not file this report. All other committees must file this report.
Oct 24, 2019 2 nd Pre-Election (Special General)	9/22/19 – 10/19/19	460	 Candidates who were unsuccessful in the August 27 election do not file this report. All other committees must file this report. Paper copies must be filed by personal delivery or guaranteed overnight service only.

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Jan 31, 2020 Semi-Annual	8/11/19 – 12/31/19 (for those defeated in Special Primary)	<u>460</u>	 All committees must file Form 460 unless the committee files termination Forms 410 and 460 before Dec. 31, 2019.
	10/20/19 – 12/31/19 (for those successful in the Special Primary)		

Additional Report:

Depending on committee activity, the following report may also be required:

• Form <u>E-530</u>: File within 48 hours of making a payment, or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted on in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- * Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **Deadlines: Due to the date of the August 27 Special Primary Election, the first pre-election statement for the August 27 Special Primary Election and the semi-annual statement may be combined.
- Deadline Extensions: Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- Where to File: State committees file reports with the Secretary of State. Only candidate controlled committees that are not e-filers file paper copies with the elections official at the candidate's county of domicile.
- E-Filer: A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- Form 460: All state committees, including e-filers, must file paper reports.
- Paper Filings: All paper filings may be filed by first class mail unless otherwise noted.
- Form 497: All reports filed online only.
- Committees making independent expenditures totaling \$1,000 or more to support or oppose other candidates also file:
 - o Form 462: This verification form must be e-mailed to the FPPC within 10 days.
 - o **Form** 496: This form is due within 24 hours if made in the 90-day, 24-hour reporting period of the candidate's election. Refer to the applicable filing schedule. Form 496 is filed with the filing officer in the jurisdiction of the affected candidate.
- Multiple Committees: All committees controlled by a state candidate listed on the August 27 and November 5 ballots must file reports in connection with this filing schedule. For example, an Assembly candidate listed on the August 27 and November 5 ballots who also maintains a Senate committee must file pre-election reports for both the Assembly and Senate committees even if the Senate committee has not received or made payments.
- Contribution Limits: Refer to the contribution limits chart on the FPPC website.

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- **Penalties:** Late statements are subject to a fine of \$10 per day on both the paper and the e-filed version, i.e. \$20 per day for a late Form 460. Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- Public Documents: All statements are public documents.
- Resources: Campaign manuals and other instructional materials are available at www.fppc.ca.gov. Click on Learn and then Campaign Rules.

Toll-Free Advice Line 1-866-ASK-FPPC Website: www.fppc.ca.gov 100119